



DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION  
CITY OF CHICAGO

**AGREED PLAN OF OPERATION**

**Licensee:** Valhalla Trading Company

**Premises:** 3313-3319 N Broadway  
Chicago, IL 60657

**License Types:** Retail Food Establishment (1006), Consumption on Premises - Incidental Activity (1475), Public Place of Amusement (1050)

**Account Number:** 257616

**Site:** 03

Pursuant to City of Chicago Municipal Code ("M.C.C.") Sections 4-60-040 (h) and 4-156-311(d), the Department of Business Affairs and Consumer Protection ("BACP") / Local Liquor Control Commission ("LLCC") of the City of Chicago and the above-named Licensee have agreed to the issuance of a a Consumption on Premises – Incidental Activity liquor license and a Public Place of Amusement License under the following conditions:

1. Licensee shall not lease/rent out or buy out the venue to a promoter, or allow any event to take place where ownership vacates the venue and allows a promoter to hire their own staff (bartenders, servers, hosts and security). All events will be booked internally with management/ownership. All entertainment shall be overseen by management/ownership.
2. Licensee shall use the PPA license for the following purposes: raising money for non-profit organizations catering to a specific charity; for political functions; goodwill events; for pre-paid specialty dinner packages that management/ownership would promote in advance; for corporate bookings or buyout events that would still entail all of Licensee's staff (bartenders, servers, hosts and security) and ownership operating the entire event; and under the following conditions:
  - a. Licensee may employ live acoustic bands.
  - b. Licensee may employ disc jockeys.
  - c. Licensee may host charitable events.
  - d. Licensee may have ticketed events in collaboration with other chefs, mixologists and restaurant partners.
  - e. Licensee may install or otherwise designate a dance floor when conducting a private

event.

f. Licensee may charge a cover or any other fee for entrance into the premises when conducting a private or ticketed event.

g. Licensee will not operate a nightclub or dance club.

h. Licensee will close windows and doors by 10:00 p.m. each night and anytime live music is playing.

3. Licensee shall not have any event take place without ownership managing the venue.

4. Licensee shall not operate the venue as a nightclub. While guests shall be allowed to dance, the Licensee shall not have a dance floor nor build a dance floor (with the exception of private events as specified in section 2 e.)

5. No liquor shall be taken by customers off the Premises with the exception of number 24 below in this liquor license plan of operation.

6. Licensee will have a staff member stationed near all entrances during hours of operation to assure no alcoholic beverages leave the premises.

7. No liquor shall be served past 2:00 a.m. 7 days per week.

8. Licensee shall comply with and advise all supervisory personnel of all applicable provisions of the Chicago Noise and Vibration Control Ordinance, Chapter 8-32 of the Municipal Code.

9. Licensee will comply with all Occupancy limits as proscribed by the Chicago Building Department for all its rooms.

10. Licensee shall immediately notify, by calling 911, the Police of any illegal activity which it views in and around the premises.

11. Licensee shall maintain a logbook of all illegal activity reported or required to be reported to the Chicago Police Department, as required under Section 4-60-141 of the Chicago Municipal Code.

12. Licensee agrees that in the event the LLCC receives a complaint, the Licensee shall cooperate fully with any investigation, including, but not limited to, submitting any records requested by the LLCC. The Licensee shall, upon request of the LLCC, produce any records the LLCC has requested within ten (10) days of such request.

13. Licensee shall maintain video surveillance for at least 30 days. Copies of the video surveillance shall be made available to the Chicago Police Department on request.

14. Licensee shall immediately address any public nuisance issues which adversely impact the health, safety, and welfare of the community.

15. Licensee shall ensure that security personnel will make it a priority to prevent the entry of intoxicated and disorderly patrons.

16. Security personnel will prevent drinking on the public way. Security personnel will manage any outside lines or activity directly surrounding the business.

17. Licensee will display a sign in a conspicuous location which reads, "Please Respect Our Neighbors, Please Exit Quietly and Do Not Loiter."

18. Licensee shall maintain sufficient trash containers to accommodate waste generated. The Licensee shall ensure that all trash containers shall be locked and secured at all times. All trash containers are kept within close

proximity to the building and used by the entire building and will not create any noise issues for neighbors.

19. Licensee agrees not to expand the Premises without, first, applying to the City of Chicago for the right to expand the Premises.

20. Alcohol Server training: all bar and wait staff will be BASSET or TIPS certified.

21. Licensee shall have staff ensure that no over-serving of patrons will occur.

22. Licensee shall regularly attend 19<sup>th</sup> District CAPS Beat and CAPS hospitality meetings and agrees to set up or attend meetings with the alderman, police commander, and community residents or groups to discuss any concerns regarding the operations of the Licensee's business.

23. Non-Smoking Laws. Licensee will enforce all applicable City and State non-smoking laws as they relate to both the interior and the exterior of the Premises.

24. Licensee agrees to abide by all applicable provisions of the City of Chicago Municipal Code, including not permitting customers to leave the Premises with open containers of alcohol, unless it is a partially consumed bottle of wine for off-premises consumption which has been "resealed" and conforms to the provisions of the Illinois Liquor Control Act 235 ILCS 5/6-33

25. Licensee agrees that this plan of operation cannot be modified or amended without input by the local Alderman.

The conditions of this plan of operation are legally binding and may be enforced by City of Chicago enforcement authorities under M.C.C. §§ 4-60-040(h) and 4-156-311 (d)3(A). All other conditions of the license are governed by the City of Chicago Municipal Code. Violation of the above stated conditions may result in the imposition of a fine and/or suspension or revocation of all business licenses issued to the Licensee. Violations of the above stated conditions may also result in the issuance of cease and desist orders prohibiting the activity which violates the conditions of the plan of operation.

The conditions of the business licenses issued pursuant to this Plan of Operation shall apply to the business address and Licensee and to all officers, managers, members, partners and direct or indirect owners of the entity of which is licensed. The sale of the Licensee to other persons purchasing the stock of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation. Any and all potential new owners of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation.

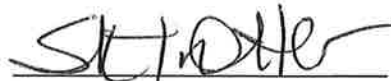
It shall be the duty of every person conducting, engaging in, operating, carrying on or managing the above mentioned business entity to post this Business License Plan of Operation next to the business license certificates in a conspicuous place at the business address.

**Licensee:** Valhalla Trading Company

**Business Address:** 3313-3319 N Broadway  
Chicago, IL 60657



Henry Chang  
President  
Valhalla Trading Company



Shannon Trotter

1/24/19

Date